



ITW

Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on January 19, 2007

Brian W. Hameder (Reg. No. 45,613)
Name

Brian W. Hameder
Signature

DOCKET: CU-4693

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Rodney KEATS

Serial No.: 10/568,209 ✓

Group Art Unit:

Filed: February 13, 2006

Examiner:

For: STACKABLE PLANT POT

THE COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: January 19, 2007

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INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

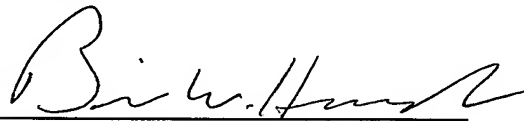
Additional comments, if any, on the relevance of each reference listed are provided as follows:

Also submitted herein is a copy of the PCT Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

<u> </u>	supplied by the inventor(s);
<u> X </u>	supplied by an individual associated with the filing and prosecution
<u> </u>	of this application (37 CFR 1.56(c)); or
<u> </u>	in the attorney's file.

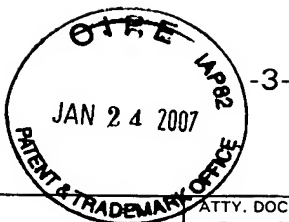
Respectfully submitted,



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Date: January 19, 2007

Form PTO-1449 (Modified)



FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	ATTY. DOCKET NO. CU-4693	SERIAL NO. 10/568,209
	APPLICANT John Rodney KEATS	
	FILING DATE February 13, 2006	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT DOCUMENT	ISSUE/PUB DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
	4,102,081	07/25/78	Morrow			
	2001/0052199	12/20/01	Klein et al.			
	3,452,475	07/01/69	Johnson			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	EP 0 142 471	05/22/85	EPO				
	DE 3618833	12/10/87	Germany				
	WO 98/56233	12/17/98	PCT				
	GB 2 369 980	06/19/02	United Kingdom				
	FR 2 715 269	07/28/95	France				
	DE 27 04 414	09/14/78	Germany				
	AU 634522	08/29/91	Australia				
	AU 586493	02/18/88	Australia				

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)

(Form PTO-1449)